Edgar Filing: SIMMONS HAROLD C - Form 4

SIMMONS I Form 4	HAROLD C											
August 10, 2	011											
FORN	14										PPROVAL	
	• UNITED	STATES						NGE (COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 1 Form 4 o	ger STATEN 6.	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section 17(a) of the		ility Ho	oldi	ng Com	ipany	Act o	ge Act of 1934, f 1935 or Sectio 40	'n		
(Print or Type F	Responses)											
SIMMONS HAROLD C Symbo						Ficker or T		ıg	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (I	Middle)	3. Date of			L			(Check all applicable)			
				th/Day/Year)					_X_ Director _X_ 10% Owner _X_ Officer (give titleOther (specify below) Other (specify below) Chairman of the Board & CEO			
	(Street)		4. If Amer	ndment, E	Date	e Original			6. Individual or J	oint/Group Fili	ng(Check	
DALLAS, T	TX 75240		Filed(Mon	th/Day/Ye	ar)				Applicable Line) _X_ Form filed by Form filed by M Person	One Reporting P More than One R		
(City)	(State)	(Zip)	Table	e I - Non-	-De	rivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Executi any	emed on Date, if 'Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
G				Code	V	Amount		Price	(Instr. 3 and 4)			
Common stock \$0.125 par value	08/10/2011			P <u>(1)</u>		5,000	A	\$ 12	307,225	I	by Spouse	
Common stock \$0.125 par value									40,387,531	I	by Valhi (3)	
Common stock \$0.125 par value									1,052,054	D		

Edgar Filing: SIMMONS HAROLD C - Form 4

Common stock \$0.125 par value	222,100	I	by TFMC (4)
Common stock \$0.125 par value	2,000	Ι	by Kronos

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Exercisable Date

of

Shares

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr. 5)	Bene
	Derivative				Securities	5		(Instr. 3 and	4)	Owne
	Security				Acquired					Follo
					(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)					
								Amou	int	
									1111	
						Date	Expiration	or		
						Evereiseble	•	Title Numb	ber	

Code V (A) (D)

Reporting Owners

Reporting Owner Name / Address	Relationships							
I gen in the second	Director	Director 10% Owner Officer						
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Х	Х	Chairman of the Board & CEO					
Signatures								
A. Andrew R. Louis, Attorney-in-fact, for Harold C.								
Simmons		08/10/2011						
**Signature of Reporting Per	rson		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Open market purchase by the reporting person's spouse.
- (2) Directly held by the reporting person's spouse. The reporting person disclaims beneficial ownership of any shares of the issuer's common stock that his spouse holds.
- (3) Directly held by Valhi, Inc. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (4) Directly held by TIMET Finance Management Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (5) Directly held by Kronos Worldwide, Inc. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.

Remarks:

Exhibit Index Exhibit 99 Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.