PACCAR INC Form 4 February 09, 2017

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

Section 16.

Form 4 or

Form 5

Obligations

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange obligations

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BARKLEY MICHAEL T			2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
			CCAR INC [F	CAR]			(Check all applicable)			
(Last)	(First) (N	Middle) 3. D	3. Date of Earliest Transaction				(Citation of Processia)			
			(Month/Day/Year) 02/07/2017				Director 10% Owner _X Officer (give title Other (specify below) SR. VICE PRESIDENT/CONTROLLER			
	(Street)	4. If Amendment, Date Original 6				6. Individual or Joint/Group Filing(Check				
BELLEVUE	· · · · · · · · · · · · · · · · · · ·				pplicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting					
<b>BELLE V</b> UE	, WA 90004		Person							
(City)	(State)	(Zip)	Table I - Non-D	erivative	Securit	ties Acquire	ed, Disposed of, o	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Code 'Year) (Instr. 8)	4. Secur or Dispo (Instr. 3,	sed of (4 and 5		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK	02/07/2017		S	881	D	\$ 68.5221	15,033	D		
COMMON STOCK (ESPP) (1)							5.85	D		
COMMON STOCK (SIP) (2) (3)							18,550.756	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

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 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
STOCK OPTION (4)	\$ 50.5					01/01/2014	02/03/2021	COMMON STOCK	8,782
STOCK OPTION (4)	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	13,662
STOCK OPTION (4)	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	11,728
STOCK OPTION (4)	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	9,236
STOCK OPTION (4)	\$ 62.46					01/01/2018	02/04/2025	COMMON STOCK	8,562
STOCK OPTION (4)	\$ 50					01/01/2019	02/04/2026	COMMON STOCK	17,368
COMMON STOCK (DCP) (5)	<u>(5)</u>					(5)	<u>(5)</u>	COMMON STOCK	905.95

# **Reporting Owners**

Reporting Owner Name / Address	Relationsnips					
	Director	10% Owner	Officer	Other		
BARKLEY MICHAEL T						
777 106TH AVENUE NE			SR. VICE PRESIDENT/CONTROLLER			
BELLEVUE, WA 98004						

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## **Signatures**

Michael T. 02/09/2017 Barkley

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in PACCAR Inc Employee Stock Purchase Plan (ESPP).
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) Balance includes shares awarded under SIP since date of last report in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- (4) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- (5) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one-for-one basis upon satisfaction of all applicable vesting conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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