#### Edgar Filing: UNITED COMMUNITY BANKS INC - Form 3

#### UNITED COMMUNITY BANKS INC

Form 3

September 20, 2012

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement UNITED COMMUNITY BANKS INC [UCBI]  **HARTON H LYNN** (Month/Day/Year) 09/14/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 310 MCDANIEL AVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) GREENVILLE, Â SCÂ 29601 Form filed by More than One Chief Operating Officer Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Restricted Stock Unit 75,000 (1) D Â Â Restricted Stock Unit 75,000 (2) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 1. Title of Derivative Security 2. Date Exercisable and 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of or Indirect

Shares (I)

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HARTON H LYNN
310 MCDANIEL AVE Â Â Â Chief Operating Officer Â
GREENVILLE, SCÂ 29601

**Signatures** 

/s/ Lois J. Rich, Attorney-in-Fact for H. Lynn
Harton

09/20/2012

\*\*Signature of Reporting Person Date

exceed \$37,500,000, subject to the provisions of the Troubled Asset Relief Program.

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each Restricted Stock Unit ("RSU") represents a contingent right to receive one share of UCBI common stock. 50% of the RSUs are scheduled to vest on 9/14/2015, subject to the provisions of the Troubled Asset Relief Program.
- Each Restricted Stock Unit ("RSU") represents a contingent right to receive one share of UCBI common stock. 50% of the RSUs are scheduled to vest on 9/14/2014 if the Company's fourth quarter 2013 core pre-tax, pre-credit earnings equal or exceed \$33,500,000, and 50% of the RSUs are scheduled to vest on 9/14/2015 if the Company's fourth quarter 2014 core pre-tax, pre-credit earnings equal or

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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