### Edgar Filing: HENNESSY ADVISORS INC - Form 8-K

## HENNESSY ADVISORS INC Form 8-K August 01, 2003

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15(d) of
The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): July 31, 2003

HENNESSY ADVISORS, INC. (Exact name of registrant as specified in its charter)

California 000-49872 68-0176227 (State or other jurisdiction (Commission (IRS Employer of incorporation) File No.) Identification No.)

750 Grant Avenue, Suite 100

Novato, California 94945

(Address of principal executive offices) (Zip Code)

Registrant's telephone number including area code: (415) 899-1555

(Former name or former address, if changed since last report)

- Item 7. Financial Statements and Exhibits.
  - (c) Exhibits

Exhibit 99.1 Earnings release issued July 31, 2003, by
Hennessy Advisors, Inc., for the nine months ended
June 30, 2003.

Item 12. Disclosure of Results of Operations and Financial Condition

The registrant has issued an earnings release for the nine months ended June 30, 2003, which is included herein as Exhibit 99.1.

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### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.

July 31, 2003

By: /s/ Neil J. Hennessy

Neil J. Hennessy President

### EXHIBIT INDEX

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