

Edgar Filing: HENNESSY ADVISORS INC - Form 8-K

HENNESSY ADVISORS INC  
Form 8-K  
December 22, 2003

SECURITIES AND EXCHANGE COMMISSION

UNITED STATES

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) December 22, 2003

HENNESSY ADVISORS, INC.

(Exact name of registrant as specified in its charter)

|  |  |   |
|--|--|---|
| California<br>-----<br>(State or other jurisdiction<br>of incorporation) | 000-49872<br>-----<br>Commission<br>File Number) | 68-0176227<br>-----<br>(IRS Employer<br>Identification No.) |
|--|--|---|

|   |                              |
|---|------------------------------|
| 750 Grant Avenue, Suite 100<br><br>Novato, California<br>(Address of principal executive offices) | 94945<br>-----<br>(Zip Code) |
|---|------------------------------|

Registrant's telephone number including area code: (415) 899-1555

Not Applicable  
(Former name or former address, if changed since last report)

ITEM 12. Results of Operation and Financial Condition

On December 22, 2003, Hennessy Advisors, Inc. issued a release regarding earnings for the fiscal year ended September 30, 2003. The text of the press release is furnished as Exhibit 99.

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Pursuant to the requirements of the Securities and Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.  
(registrant)

December 22, 2003

By: /s/ Teresa M. Nilsen

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Teresa M. Nilsen  
Chief Financial Officer and  
Executive Vice President

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EXHIBIT INDEX

| No. | Description of Exhibit |
|-----|------------------------|
| 99  | Press Release          |

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