

Pershing Edward
Form 3
May 07, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Pershing Edward

(Last) (First) (Middle)

10025 INVESTMENT DRIVE,
SUITE 250

(Street)

KNOXVILLE,Â TNÂ 37932

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
04/27/2018

3. Issuer Name **and** Ticker or Trading Symbol

PROVCTUS BIOPHARMACEUTICALS, INC.
[PVCT]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

2,820,630

D

Â

Common Stock

77,100

I

By spouse

Common Stock

3,750

I

As custodian for grandchild

Common Stock

81,500

I

By Mr. P's Foundation ⁽¹⁾

Common Stock

550,000

I

By Perkins Place ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Common Stock Warrants (right to buy)	Â <u>(2)</u>	06/19/2020	Common Stock	705,000	\$ 0.85	D	Â
Common Stock Warrants (right to buy)	Â <u>(2)</u>	06/19/2020	Common Stock	27,500	\$ 0.85	I	By Perkins Place(1)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pershing Edward 10025 INVESTMENT DRIVE, SUITE 250 KNOXVILLE, TN 37932	Â X	Â	Â	Â

Signatures

/s/ Lori Metrock,
Attorney-in-Fact

05/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Reporting Person hereby disclaims ownership of these securities in excess of his pecuniary interest therein.

(2) The common stock warrants are exercisable currently.

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Remarks:

ExhibitÂ 24.1-PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.