Alspaugh Robert W Form 4 May 10, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Alspaugh Robert W

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

**AUTOLIV INC [ALV]** 

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction

X\_ Director

10% Owner

C/O AUTOLIV.

(Month/Day/Year) 05/08/2018

Officer (give title

Other (specify

INC., KLARABERGSVIADUKTEN

(Street)

(State)

70, SECTION B7

(City)

4. If Amendment, Date Original

Applicable Line)

6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

STOCKHOLM, V7 SE-111 64

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) Transaction(s) or

(Instr. 3 and 4)

Price (D) Code V Amount

Common 05/08/2018 Stock

 $M^{(1)}$ \$0 1,178 Α 4,978 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

#### Edgar Filing: Alspaugh Robert W - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of tionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(2)	05/08/2018		M <u>(1)</u>		1,178	05/08/2018	05/08/2018	Common Stock	1,178
Restricted Stock Unit	<u>(2)</u>	05/08/2018		A(3)	861		<u>(4)</u>	<u>(4)</u>	Common Stock	861

## **Reporting Owners**

Relationships
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Reporting Owner Name / Address

Director 10% Officer Other
Owner Owner

Alspaugh Robert W C/O AUTOLIV, INC. KLARABERGSVIADUKTEN 70, SECTION B7 STOCKHOLM, V7 SE-111 64



# **Signatures**

/s/ Zachariah Miller, as attorney-in-fact for Robert W. Alspaugh

05/10/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the vesting of restricted stock units, including restricted stock units acquired through dividend equivalents, granted on May 9, 2017 as payment of one-half of the 2017-2018 annual retainer for director service.
- (2) Each restricted stock unit represents a contingent right to receive one share of ALV common stock.
- (3) Reflects a grant of restricted stock units as payment of one-half of the 2018-2019 annual retainer for director service.
- (4) The restricted stock units vest and convert to shares in one installment on the earlier of (a) the date of Autoliv's 2019 annual stockholder meeting, or (b) the one-year anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2