## Edgar Filing: DONDERO JAMES D - Form 4

DONDERO Form 4	) JAMES D											
August 14,										OME		٨١
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							ON (	OMB APPROVAI		-0287		
Check this box			Washington, D.C. 20549								: Ianua	
if no lo subject Section Form 4 Form 5 obligati may co	nger to 16. or Filed pu	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940								Expires: 200 Estimated average burden hours per response 0		
(Print or Type	e Responses)											
1. Name and Address of Reporting Person <u>*</u> DONDERO JAMES D			2. Issuer Name <b>and</b> Ticker or Trading Symbol NexPoint Residential Trust, Inc. [NXRT]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction					X Director X Officer (g	rive tit		10% Owner Other (specify	7
300 CRES 700	CENT COURT, S	SUITE	(Month/ 08/11/	Day/Year) 2018				below)		below) esident		
Filed				<sup>2</sup> Amendment, Date Original d(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
DALLAS,								Person	-		1 0	
(City)	(State)	(Zip)	Tal	ble I - Non-	Derivative	e Secu	rities A	cquired, Disposed	l of, o	r Benefi	cially Owne	d
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			3. 4. Securities Acquire Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price			l of	SecuritiesOwBeneficiallyForOwnedDirrFollowingor IReported(I)Transaction(s)(Inst. 3 and 4)		ership n: ct (D) direct r. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/11/2018			М	12,761	А	<u>(1)</u>	62,428.07	D			
Common Stock								2,493,210.58 (3)	I		By Highla Capital Managem L.P.	
Common Stock								21,231 (4)	Ι		By NexPo Advisors,	
Common Stock								1,744,420.02 $(5) (6)$	Ι		By trust	

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		-	-									
Common Stock						7,50	0 (7)	Ι	By limited liability company			
Common Stock						23,0	57.57 <u>(6)</u>	Ι	By employ benefit pla			
Common Stock						56,5	51 <u>(8)</u>	Ι	By Highlan Capital Manageme Fund Advisors, I	ent		
Reminder: Re	port on a sepa	rate line for each class	s of securities benefic	cially owned	d directly	or indi	ectly.					
					Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.					SEC 1474 (9-02)		
			tive Securities Acqu its, calls, warrants, o					ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Expiration (Month/Da		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Seo (In	
				Code V	(A)	(D)	Date Exercisabl	Expiration e Date	Title	Amount or Number of Shares		
Restricted Stock Units	<u>(1)</u>	08/11/2018		М	1	2,761	(2)	(2)	Common Stock	12,761		
Report	ting Ov	wners										
		(	R	elationship	s							
Keport	ing Owner N	ame / Address	Director 10% O	wner Off	ïcer	Othe	r					
		) JRT, SUITE 700	x x	K Pr	esident							
Signat	ures											
/s/ Brian M attorney-in			08/14/2018									

\*\*Signature of Reporting Person

Date

**Reporting Owners** 

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of common stock of NexPoint Residential Trust, Inc.

On August 11, 2016, the reporting person was granted 51,046 restricted stock units which vested 50% on August 11, 2017 and 25% on
(2) August 11, 2018 and will vest 25% on August 11, 2019. Settlement will generally occur within 10 days of vesting and may at the discretion of the Compensation Committee be settled in cash.

(3) These shares are held by Highland Capital Management, L.P. ("HCMLP") both directly and indirectly through advised accounts. Mr.
 (3) Dondero is the President and the director of Strand Advisors, Inc., HCMLP's general partner, and may be deemed to be an indirect beneficial owner of shares held by HCMLP. Mr. Dondero disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

These shares are held by NexPoint Advisors, L.P. ("NP") indirectly through an advised account. Mr. Dondero is the sole member of NP's(4) general partner, and may be deemed to be an indirect beneficial owner of shares held by NP. Mr. Dondero disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

- (5) These shares are held by a trust pursuant to an employee purchase plan. Mr. Dondero disclaims beneficial ownership of such shares.
- (6) Includes shares acquired through reinvestment of dividends.
- (7) These shares are held by a limited liability company in which the trust referenced in footnote 5 to this Form 4 owns a majority interest.
   (7) Mr. Dondero disclaims beneficial ownership of such shares.

These shares are held by Highland Capital Management Fund Advisors, L.P. ("HCMFA") indirectly through an advised account. Mr.

(8) Dondero is the sole stockholder and director of Strand Advisors XVI, Inc., HCMFA's general partner, and may be deemed to be an indirect beneficial owner of shares held by HCMFA. Mr. Dondero disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.