

STALEY JOHN C  
Form 4  
August 20, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STALEY JOHN C

2. Issuer Name and Ticker or Trading Symbol  
Mattersight Corp [MATR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
200 W. MADISON, SUITE 3100  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/20/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

CHICAGO, IL

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	08/20/2018		U	110,718	D 0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

Edgar Filing: STALEY JOHN C - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 4.25	08/20/2018		D		<u>50,000</u> <sup>(2)</sup>	02/18/2009	02/18/2019	Common Stock	50,000
Stock Option (right to buy)	\$ 6.9	08/20/2018		D		<u>5,000</u> <sup>(2)</sup>	05/15/2009	05/15/2019	Common Stock	5,000
Stock Option (right to buy)	\$ 6.34	08/20/2018		D		<u>5,000</u> <sup>(2)</sup>	05/14/2010	05/14/2020	Common Stock	5,000
Stock Option (right to buy)	\$ 6.27	08/20/2018		D		<u>5,000</u> <sup>(2)</sup>	05/20/2011	05/20/2021	Common Stock	5,000
Stock Option (right to buy)	\$ 7.7	08/20/2018		D		<u>5,000</u> <sup>(2)</sup>	05/18/2012	05/18/2022	Common Stock	5,000
Stock Option (right to buy)	\$ 3.8	08/20/2018		D		<u>10,000</u> <sup>(2)</sup>	05/17/2013	05/17/2023	Common Stock	10,000
Stock Option (right to buy)	\$ 4.99	08/20/2018		D		<u>10,000</u> <sup>(2)</sup>	05/16/2014	05/16/2024	Common Stock	10,000
Stock Option (right to buy)	\$ 6.13	08/20/2018		D		<u>10,000</u> <sup>(2)</sup>	05/15/2015	05/15/2025	Common Stock	10,000
Stock Option (right to buy)	\$ 3.57	08/20/2018		D		<u>10,000</u> <sup>(2)</sup>	05/13/2016	05/13/2026	Common Stock	10,000

