Edgar Filing: ZUMWALT LEANNE M - Form 4

ZUMWALT	Γ LEANNE M										
Form 4											
December 1	2, 2017										
FORM	14		GEGU							PROVAL	
	UNITED	STATES			AND EX 1, D.C. 2		ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no lon								Expires:	January 31, 2005		
subject t Section Form 4 o Form 5	o SIAIEN 16. or	ATEMENT OF CHANGES IN BENEFICIAL OWNE SECURITIES ed pursuant to Section 16(a) of the Securities Exchange A							Estimated average burden hours per response		
obligatic may con <i>See</i> Instr 1(b).	ons Section 17(a) of the H	Public U	tility Ho	lding Co	mpar	-	1935 or Section	1		
(Print or Type	Responses)										
1. Name and A ZUMWAL						 Relationship of Reporting Person(s) to Issuer (Check all applicable) 					
(Last)	(First) (1	Middle)	3. Date of Earliest Transaction					(Check	k an applicable)		
C/O DAVI STREET	(Month/Day/Year) 12/08/2017					Director 10% Owner X Officer (give title Other (specify below) Grp VP, Purch & Public Affairs					
				Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
DENVER,	CO 80202						ī	Form filed by Me Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-	Derivativ	e Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deer (Month/Day/Year) Execution any (Month/E		h Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) Pay/Year) (Instr. 8)				(D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	12/08/2017			М	5,400	А	\$ 55.34	12,423	D		
Common Stock	12/08/2017			F	4,451	D	\$ 67.14	7,972	D		
Common Stock	12/08/2017			S	949	D	\$ 68.1889 (1)	7,023	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Rights	\$ 55.34	12/08/2017		М		5,400	(2)	12/18/2017	Common Stock	5,400

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ZUMWALT LEANNE M C/O DAVITA INC. 2000 16TH STREET DENVER, CO 80202			Grp VP, Purch & Public Affairs				
Signatures							
/s/ Arturo Sida							

Date

Arturo Sida, 12/12/2017 Attorney-in-Fact

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the weighted average sale price of \$68.1889. The range of prices for the sale of these shares was \$68.1700 \$68.2100 rounded
 (1) to the nearest ten-thousandths. The reporting person undertakes to provide upon request by the Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (2) The Stock Appreciation Rights were granted on 12/18/2012. 50% vested on 4/1/2015 and the remaining 50% vested on 4/1/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.