

AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4

March 18, 2003

| OMB APPROVAL |
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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935
or Section 30(h) of the Investment Company Act of 1940**

- ☐ Check this box if no longer
subject to Section 16.
Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

| | | | | | | |
|---|---|--|--|--|--|---|
| 1. Name and Address of Reporting Person* Shaffer, Alan L. <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <i>(Last) (First) (Middle)</i> c/o American Axle & Manufacturing Holdings, Inc. 1840 Holbrook Avenue <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <i>(Street)</i> | 2. Issuer Name and Ticker or Trading Symbol American Axle & Manufacturing Holdings, Inc. (NYSE-AXL) <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> | 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> | | | | |
| Detroit, MI 48212 <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <i>(City) (State) (Zip)</i> | 4. Statement for Month/Day/Year 3/14/03 <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> | 5. If Amendment, Date of Original (Month/Day/Year) <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> | | | | |
| <table border="0" style="width: 100%;"> <tr> <td style="width: 33%; vertical-align: top;"> 6. Relationship of Reporting Person(s) to Issuer (Check All Applicable) </td> <td style="width: 33%; vertical-align: top;"> 7. Individual or Joint/Group Filing (Check Applicable Line) </td> </tr> <tr> <td style="vertical-align: top;"> <div style="display: flex; justify-content: space-between;"> <div> <input type="radio"/> Director </div> <div> <input type="radio"/> 10% Owner </div> </div> <div style="margin-top: 10px;"> <input checked="" type="radio"/> Officer <i>(give title below)</i> </div> <div style="margin-top: 10px;"> <input type="radio"/> Other <i>(specify below)</i> </div> <div style="margin-top: 10px;"> Senior Vice President, Sales, Marketing & Strategic Planning </div> </td> <td style="vertical-align: top;"> <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> </div> <div> Form Filed by One Reporting Person </div> </div> <div style="margin-top: 10px;"> <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> </div> <div> Form Filed by More than One Reporting Person </div> </div> </div> </td> </tr> </table> | | | 6. Relationship of Reporting Person(s) to Issuer (Check All Applicable) | 7. Individual or Joint/Group Filing (Check Applicable Line) | <div style="display: flex; justify-content: space-between;"> <div> <input type="radio"/> Director </div> <div> <input type="radio"/> 10% Owner </div> </div> <div style="margin-top: 10px;"> <input checked="" type="radio"/> Officer <i>(give title below)</i> </div> <div style="margin-top: 10px;"> <input type="radio"/> Other <i>(specify below)</i> </div> <div style="margin-top: 10px;"> Senior Vice President, Sales, Marketing & Strategic Planning </div> | <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> </div> <div> Form Filed by One Reporting Person </div> </div> <div style="margin-top: 10px;"> <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> </div> <div> Form Filed by More than One Reporting Person </div> </div> </div> |
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* instruction 4(b)(v).

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security <i>(Instr. 3)</i> | 2. Transaction Date <i>(Month/Day/Year)</i> | 2A. Deemed Execution Date, if any <i>(Month/Day/Year)</i> | 3. Transaction Code <i>(Instr. 8)</i> | 4. Securities Acquired (A) or Disposed of (D) <i>(Instr. 3, 4 and 5)</i> | 5.Amount of Securities Beneficially Owned Following Reported Transaction(s) <i>(Instr. 3 and 4)</i> | 6. Ownership Form: Direct (D) or Indirect (I) <i>(Instr. 4)</i> | 7. Nature of Indirect Beneficial Ownership <i>(Instr. 4)</i> |
|---|--|--|--|---|--|--|---|
|---|--|--|--|---|--|--|---|

| Code V | Amount | (A) or (D) | Price |
|--------|--------|------------------|-------|
|--------|--------|------------------|-------|

Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | |
|---|--|---|---|-----------------------------------|---|-----|
| | | | | Code V | (A) | (D) |
| Employee Stock Option (Right to Buy) | \$23.73 | 3/14/03 | | A | 26,000 | |

Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned Continued
(e.g., puts, calls, warrants, options, convertible securities)

| 6. Date Exercisable and Expiration Date <i>(Month/Day/Year)</i> | 7. Title and Amount of Underlying Securities <i>(Instr. 3 and 4)</i> | 8. Price of Derivative Security <i>(Instr. 5)</i> | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) <i>(Instr. 4)</i> | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) <i>(Instr. 4)</i> | 11. Nature of Indirect Beneficial Ownership <i>(Instr. 4)</i> |
|---|---|--|--|---|---|
|---|---|--|--|---|---|

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
|---------------------|--------------------|-----------------|----------------------------------|---------|---|
| (1) | 1/22/13 | Common Stock | 26,000 | 164,000 | D |

Explanation of Responses:

(1) On March 14, 2003, the reporting person was granted an option to purchase 26,000 shares of common stock. The option vests in three annual installments beginning on January 22, 2004.

/s/ Michael K. Simonte

3/18/03

**Signature of Reporting
Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.